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Date 10/17/02

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#5/A NE.  
LMC/Amendment  
11-1-02

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

APPLICANT(S): Thomas Andrew Strasser et al.

**RECEIVED**

SERIAL NO.: 10/099,891

**OCT 24 2002**

FILING DATE: 15 March 2002

**Technology Center 2600**

TITLE: METHOD AND APPARATUS FOR PROVIDING GAIN  
EQUALIZATION TO AN OPTICAL SIGNAL IN AN OPTICAL  
COMMUNICATION SYSTEM

GROUP ART: 2633

EXAMINER: UNASSIGNED

DOCKET: PH01-00-04B

BOX NON-FEE AMENDMENT  
ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

**PRELIMINARY AMENDMENT**

In regard to the above-identified application, please enter the following preliminary amendment and remarks: